Black-box and Non-black-box Lower Bounds on Assumptions behind IO

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Indistinguishability Obfuscation (IO) [BGIRSVY01, GGHRSW13]





What primitive do you want ?

Functional Encryption: [Garg-Gentry-Halevi-Raykova-Sahai-Waters 2013]

Witness Encryption: [Garg-Gentry-Sahai-Waters 2013] 2-round MPC: [Garg-Gentry-Halevi-Raykova 2013] Re-using garbled circuits: [Gentry–Halevi–Raykova-Wichs 2014] Deniable Encryption, KEM, Oblivious Transfer,...: [Sahai-Waters 2014]

Random oracle instantiation: [Hohenberger-Sahai-Waters 2014] Secret sharing: [Komargodski-Naor 2014] 2-round adaptively-secure MPC: [Garg-Polychroniadou 2015] Multi-input Functional Encryption: [Goldwasser-Gordon-Goyal-Jain-Katz-Liu-Sahai-Shi-Zhao 2015]

What assumptions give us IO?





Figure 4: The map of different ways towards achieving iO for circuits in **P**/**poly** at the date of writing.



Can we use "standard assumptions" ?

Main Results - Informal

Thm: Assuming OWFs and that Poly-Hierarchy does not collapse, none of primitives below imply IO in a `non-black-box' way:

- Witness encryption
- Predicate encryption \geq [GMM Crypto 17]
- Fully hom encryption

• `Short output' functional encryption [GMM 17]

Previous Results: [MMNPS16] Full black-box separation from OWF, CRH, IBE



- **Question**: Why is the result conditional?
- Answer: If $P = NP \rightarrow$ statistically secure IO for P/poly \rightarrow Black-box IO possible by ignoring primitive \mathcal{P}

1. Black-box model and its "non-bb extension"

2. Recipe for lower bounds for IO.

3. Separating IO from "short output" FE

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Black-Box Framework [IR'89, RTV'04]



Natural when P : OWF or TDP

How about self-feeding P ?



Special subroutine taking circuits as input

Not black-box according to [IR,RTV] But we do this sometimes..

Examples of where this trick is used

• FHE bootstrapping [Gentry'09]



•FE → IO [AJ'16,BV'16]



Let's give it a name: extended black-box



Special subroutine taking circuits as input

- Inspired by [BKSY11, AS15, AS16] who allowed OWF gates
- Extended black-box : all subroutines of primitive are allowed

Relation to fully BB





- Extended black-box construction from P
- Fully black-box use of **extended** version of P

Main Results – Half Formal

Thm: Assuming OWFs and that Poly-Hierarchy does not collapse, none of primitives below imply IO in **extended** black-box way:

- Witness encryption
 Predicate encryption
 Fully hom encryption

• `Short output' functional encryption [GMM 17]

1. Black-box model and its "extensions"

2. Recipe for lower bounds for IO.

3. Separating IO from "short output" FE

General technique: oracle separation



Recipe of attacking $IO^{\mathcal{P}}$ in idealized model \mathcal{P} Only correct on 99% of inputs \rightarrow 1. [CKP'15] Compile out \mathcal{P} from $IO^{\mathcal{P}} \rightarrow$ get approx IO

→ 2. [BBF'16] there is always an unbounded attack to approx IO





Closer look at compiling out an oracle ${\mathcal P}$



First try: emulate ${\mathcal P}$ on demand



• If we reveal $\mathcal{P}(x)$ to B' for correctness \rightarrow breaks security.

[CKP'15]: revealing useful `simulatable' queries

How to obfuscate? IO'(C)



What is the challenge ?



• Security: Can be simulated in ideal world of $IO^{\mathcal{P}}$ so revealing it does not hurt the security of IO

• Challenge: to prove approximate correctness of B' in plain model





• If we compile out random oracle $\mathcal{P} \rightarrow$ get separation from OWF, CRH, etc.



covers queries of IO^P likely to be asked by B'(x) (with error < 0.01)

• Any other query could be answered at random!

1. Black-box model and its "extensions"

2. Recipe for lower bounds for IO. Case of OWFs

3. Separating IO from "short output" FE

Functional Encryption

- →• Setup $(1^{\kappa}) \rightarrow (PK, SK)$
- →• $Enc(PK, m) \rightarrow ct$

→• KeyGen(SK, f) → Key_f f is arbitrary circuit

→• $Dec(ct, Key_f) = f(x)$

→• Security: $f(m_0) = f(m_1) \rightarrow (PK, Key_f, ct_0) \approx_{ind} (PK, Key_f, ct_0)$



• Short output functional encryption [GMM 17]

- Short output: $|f(x)| < |ct| \omega(|m|)$
- LWE-based FE of [GKPVZ13] satisfies this condition
- Positive results of [BV,AJ'15] use long outputs $|f(x)| \approx 2 \cdot |ct|$

Extended Functional Encryption

FE = (Setup, KeyGen, Enc, Dec)

• Extended Black-Box use of Functional Encryption: Construction can use f^{FE} with all possible FE gates

• Equivalent to **fully black-box** use of **Extended_FE** where we allow issuing keys for f^{FE} with all possible FE gates

Recall the goal: compiling out an ideal ext-FE oracle from any IO construction



IO : idealize *FE* Model for extended Func Enc

"approximate IO" in **plain** model

Enough to just compile out $Dec(\cdot)$ queries:

- Setup $(1^{\kappa}) \rightarrow (PK, SK)$ Enc $(PK, m) \rightarrow ct$ KeyGen $(SK, f) \rightarrow Key_f$

Just a random oracle!

• $Dec(ct, Key_f) = f(x)$

 \mathcal{P} : ideal ex-FE Goal: compiling out Dec queries



• Challenge:

• Any Dec(ct, f) query has its own internal queries during $f^{FE}(m)$

• Tqueries are not simulatable \rightarrow not OK to be passed to B'

 \mathcal{P} : ideal ex-FE Goal: compiling out Dec queries



• Idea 2: we can assume every ct is decrypted at most once

• Final goal: show that Dec(ct, f) does not happen during final exec B'

 \mathcal{P} : ideal ex-FE Goal: compiling out Dec queries



- Final Idea (using short output of FE) : learner sees a fixed polynomial number of Dec(ct, f) queries
- By choosing t large enough \rightarrow no "unknown" ciphertext during final exec

Short output \rightarrow only poly new unknown ciphertexts

- Suppose $|f(x)| \ll |ct| |m|$ where ct = Enc(m) and f(x) = Dec(ct)
- Claim: If we use random $enc : \{0,1\}^{|m|} \to \{0,1\}^{|ct|}$, then any algorithm A with s bits of `advice' can hit only at most s "unknown" ciphertexts

• Proof:

- 1. a string ct is a valid ciphertext with probability $2^{|m|-|ct|}$
- 2. \rightarrow "hitting" a valid ciphertext needs $\approx |ct| |m|$ bits of `advice'
- 3. The answer f(x) can only give back |f(x)| bits of advice
- 4. If $|f(x)| < |ct| |m| \rightarrow after t$ steps we run out of advice bits!

Recap

Thm: Assuming OWFs and that Poly-Hierarchy does not collapse, none of primitives below imply IO in `extended black-box' way:

- Witness encryption
 Predicate encryption
 Fully hom encryption

• Short output functional encryption [GMM 17]

Future Directions?

• Tighter upper and lower bounds for output length of FE for IO?

• Long output FE from LWE?

 Revisiting classical separation results like OWF !→ PKE [IR'89] even more important in light of recent IBE from DDH [DG'17] Thanks!