Efficient Interactive Proofs for Linear Algebra

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8 — Abstract -

Motivated by the growth in outsourced data analysis, we describe methods for verifying basic linear 9 algebra operations performed by a cloud service without having to recalculate the entire result. 10 We provide novel protocols in the streaming setting for inner product, matrix multiplication and 11 vector-matrix-vector multiplication where the number of rounds of interaction can be adjusted to 12 tradeoff space, communication, and duration of the protocol. Previous work suggests that the costs 13 of these interactive protocols are optimized by choosing $O(\log n)$ rounds. However, we argue that 14 we can reduce the number of rounds without incurring a significant time penalty by considering the 15 total end-to-end time, so fewer rounds and larger messages are preferable. We confirm this claim 16 17 with an experimental study that shows that a constant number of rounds gives the fastest protocol. **2012 ACM Subject Classification** Theory of Computation \rightarrow Models of Computation 18 Keywords and phrases Streaming Interactive Proofs, Linear Algebra 19

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²³ 1 Introduction

The pitch for cloud computing services is that they allow us to outsource the effort to store 24 and compute over our data. The ability to gain cheap access to both powerful computing and 25 storage resources makes this a compelling offer. However, it brings increased emphasis on 26 questions of trust and reliability: to what extent can we rely on the results of computations 27 performed by the cloud? In particular, the cloud provider has an economic incentive to take 28 shortcuts or allow buggy code to provide fast results, if they are hardly noticed by the client. 29 Prior work has developed the idea of using interactive proofs to independently verify 30 outsourced computations without duplicating the effort. Originally invented as tools in the 31 realm of computational complexity, recent work has sought to argue that interactive proofs 32 can indeed be practically used for verification. Modern research takes two main approaches, 33 from highly general methods with currently far-from-practical costs, to tackling specific 34 fundamental problems where the overhead of verification is negligible. 35

In this work, we focus on the 'negligible' end of the spectrum and study primitive computations within linear algebra — a core set of tools with applications across engineering, data analysis and machine learning. We make four main contributions:

³⁹ We consider protocols for inner product and matrix multiplication and present lightweight

tunable verification protocols for these problems. We also produce an entirely new
 protocol for vector-matrix-vector multiplication.

Our protocols allow us to trade off computational effort and communication size against
 the number of rounds of interaction. We show it is often desirable to have fewer rounds
 of interaction.

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- We optimize the costs for the cloud, and show that the protocols impose a computational events of the computation itself.
- 46 overhead that is typically much smaller than the cost of the computation itself.
- 47 Our experimental study confirms our analysis, and demonstrates that the absolute cost is minimal with the alignt's gost significantly loss than performing the computation
- is minimal, with the client's cost significantly less than performing the computation
 independently.

50 1.1 Streaming Interactive Proofs

⁵¹ Our work adopts the model of *streaming interactive proofs* (SIPs), formalized in [7, 8].

Definition 1. We have two communicating computational entities, a helper, H, and a verifier, V, observing a stream S. V wishes to know f(S), for some function f. After viewing the stream, H and V have a conversation, culminating in V producing an output, Out (V, S, V_R, H) , where V_R represents a private random string belonging to V, so that

⁵⁶ Out(V, S, V_R, H) =
$$\begin{cases} X & if V is convinced by H that f(S) = X \\ \bot & Otherwise \end{cases}$$

We say the protocol used by the two parties is **complete** for f if there exists an honest helper H such that

$$\mathbb{P}[\operatorname{Out}(V, \mathcal{S}, V_R, H) = f(\mathcal{S})] = 1$$

and sound if for any helper, H', and any input, S'

$$\mathbb{P}[\operatorname{Out}(V, \mathcal{S}', V_R, H') \notin \{f(\mathcal{S}'), \bot\}] \leq \frac{1}{3}$$

Informally, complete protocols always accept an honest answer, and sound protocols reject an incorrect answer most of the time (the constant probability $\frac{1}{3}$ is arbitrary and can be reduced to be vanishingly small via standard amplification techniques). If a protocol for V is both complete and sound, we call it a *valid protocol* for f. A valid protocol is characterized by costs in terms of required space and communication.

Definition 2. For a function f we say that there is a d-round (h, v)-protocol if there is a valid protocol for f with

- 64 **Verifier Memory** v Verifier uses O(v) working memory.
- ⁶⁵ **Communication** h The total communication between the two parties is O(h). Note ⁶⁶ that we do not include the cost of sending the claimed solution in this cost.
- ⁶⁷ Interactivity d at most 2d messages sent from H to V or vice versa.
- ⁶⁸ Furthermore, we quantify the computational costs by
- ⁶⁹ **Verifier Streaming Cost** The work during the initial stream.
- ⁷⁰ **Verifier Checking Computation** The work for the interactive stage.
- ⁷¹ **Helper Overhead** The additional work outside of solving the problem.

72 Problem Statement.

⁷³ We seek optimal or near optimal verification protocols for core linear algebra operations. ⁷⁴ The canonical (and previously studied) example is the multiplication of two matrices $A \in$ ⁷⁵ $\mathbb{F}_{a}^{k \times n}, B \in \mathbb{F}_{a}^{n \times k'}$, where \mathbb{F}_{q} is the finite field of integers modulo q, for some prime $q > M^{2}n$,

- where $M = \max_{i,j}(A_{ij}, B_{ij})$ or chosen sufficiently large to not incur overflows. Our protocols
- work on any prime size finite field, consistent with prior work. This allows computation over
- ⁷⁸ fixed precision rational numbers, with appropriate scaling. For ease of exposition, we assume

⁷⁹ in this paper that n = k = k', although all our algorithms work with rectangular matrices. ⁸⁰ The resulting matrix AB is assumed to be too large for the verifier to conveniently store, ⁸¹ and so our aim is for the helper to allow the verifier to compute a *fingerprint* of AB [14], ⁸² defined formally in Section 3.1, that can be used to check the helper's claimed answer.

83 1.2 Prior Work

Interactive proofs were introduced in the 1980s, primarily as a tool for reasoning about 84 computational complexity [12]. A key result showed that the class of problems admitting 85 interactive proofs is equivalent to the complexity class PSPACE [17]. Subsequent work in 86 this direction led to the development of probabilistically checkable proofs (PCPs), where 87 (in our terminology) the verifier only inspects a small fraction of the proof written by the 88 helper. One distinction between this prior work and our setting is that PCPs consider a 89 verifier who can devote polynomial time to inspecting the proof and has access to the full 90 input; by contrast, we consider weaker verifiers, and try to more tightly bound their space 91 and computational resources. The notion that interactive proofs could be a practical tool for 92 verifying outsourced computation was advocated by Goldwasser, Kalai and Rothblum [11]. 93 This paper introduced the powerful GKR (or 'muggles') protocol for verifying arbitrary 94 computations specified as arithmetic circuits. Several papers have aimed to optimize the 95 costs of the GKR protocol [7, 19, 18], or to provide systems for verifying general purpose 96 computation under a variety of computational or cryptographic models [13, 16, 15]. The latter 97 of which tackle large classes of problems using *arguments*, which consider a computationally 98 bounded prover. We consider only proofs as we can achieve highly efficient protocols without 99 requiring restriction on the prover, or use of cryptographic assumptions. Furthermore, some 100 costs associated with such verification still remain high, such as requiring a large amount of 101 pre-processing on the part of the helper, which can only be amortized over a large number of 102 invocations. For the common and highly symmetric algebraic computations we work with in 103 this paper, it is beneficial to build a specialised protocol. 104

Other work has considered engineering protocols for specific problems that are more 105 lightweight, and so trade generality for greater practicality. The motivation is that some 106 primitives are sufficiently ubiquitous that having special purpose protocols will outweigh the 107 effort to design them. An early example of this is given by Frievalds' algorithm for verifying 108 matrix multiplication [10]. This and similar algorithms unfortunately don't directly work 109 for verifiers that can't store the entire input. This line of work was initiated for problems 110 arising in the context of data stream processing, such as frequency analysis of vectors derived 111 from streams [5]. Follow-up work addressed problems on graph data [8], data mining [9] and 112 machine learning [6]. 113

These papers tend to consider either the non-interactive case (minimizing the number of 114 rounds), or have a poly-logarithmic number of rounds (minimizing the total communication). 115 For example, [8] introduces an interactive inner product protocol which can accommodate a 116 variable number of rounds. The development assumes that setting the number of rounds to 117 be $\log(n)$ will be universally optimal, an assumption we reassess in this work. Similarly, in 118 [18] the matrix multiplication protocol takes place over $O(\log(n))$ rounds. Our observation 119 is that the pragmatic choice may fall between these extremes of non-interactive and highly 120 interactive. Taking into account latency and round-trip time between participants, the 121 preferred setting might be a constant number of rounds, which yields a communication cost 122 which is a small polynomial in the input size, but which is not significantly higher in absolute 123 terms from the minimal poly-logarithmic cost. 124

¹²⁵ We summarize the current state of the art for the problems of computing inner product

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Method	O(h) $O(v)$		Rounds	H overhead	V overhead + checking	
This Work	O(ld)	O(l+d)	d-1	$O(n\log(l))$	O(nld) + O(ld)	
Binary SC [8]	$O(\log(n))$	$O(\log(n))$	$\log(n)$	O(n)	$O(n\log(n)) + O(\log(n))$	
FFT LDEs [7]	$O(n^{1-a})$	$O(n^a)$	1	$O(n\log(n))$	$O(n) + O(\log(n))$	

Table 1 Different SIPs for Inner Product with $u, v \in \mathbb{F}_q^n$, with $n = l^d$ and $a \in [0, 1]$.

Method	O(h)	O(v)	Rounds	H overhead	V overhead + checking
This Work	O(ld)	O(l+d)	d	$O(n^2)$	$O(n^2ld) + O(ld)$
Binary SC [18]	$O(\log(n))$	$O(\log(n))$	$\log(n) + 1$	$O(n^2)$	$O(n^2 \log(n)) + O(\log(n))$
Fingerprints [5]	$O(n^2)$	O(1)	1	O(1)	$O(n^2) + O(n^2)$

Table 2 Different SIPs for Matrix Multiplication with $A, B \in \mathbb{F}_q^{n \times n}$ and $n = l^d$.

(Table 1) and matrix multiplication (Table 2), and show the results we obtain here for comparison.

Lastly, we comment that our results are restricted to the information-theoretically secure model of Interactive Proofs, and are separate from recent results in the computational (cryptographic) security model [3, 4].

1.3 Contributions and outline

Our main contribution is an investigation into the time-optimal number of rounds for a variety of protocols. We adapt and improve protocols for inner product and matrix multiplication, as well as introducing an entirely new protocol for vector-matrix-vector multiplication. We then perform experiments in order to evaluate the time component of each stage of interaction.

We begin in Section 2 by re-evaluating how to measure the communication cost of a protocol, and propose to combine the competing factors of latency and bandwidth into a total time cost. This motivates generalized protocols that take a variable number of rounds, where we can pick a parameter setting to minimizes the total completion time.

In Section 3 and 4 we build on previous protocols [8, 7] to construct novel efficient *variable round* protocols for core linear algebra operations. We begin by revisiting variable round protocols for inner product. We leverage these to obtain new protocols for matrix multiplication and vector-matrix-vector multiplication (which does not appear to have been studied previously) with similar asymptotic costs.

In Section 5, we thoroughly analyse the practical computation costs of the resulting protocols, and compare to existing verification methods. We perform a series of experiments to back up our claims, and draw conclusions on what we should want from interactive proofs. We show that it can be preferable to use fewer rounds, despite some apparently higher costs.

¹⁴⁹ **2** How Much Interaction Do We Want?

Prior work has sought to find 'optimal' protocols which minimize the total communication cost. This is achieved by *increasing* the number of rounds of interaction, with the effect of driving down the amount of communication in each round. The minimum communication is typically attained when the number of rounds is polylogarithmic [7]. The non-interactive case represents another extreme in this regard, requiring a single message from the helper to verifier. This allows the parties to work asynchronously at the cost of larger total communication.



Figure 1 Optimal number of rounds for matrix multiplication of various sizes when considering only communication, with a field size $q = O(n^3)$.

In this section we argue that the right approach is neither the non-interactive case nor the highly-interactive case. Rather, we argue that a compromise of 'moderately interactive proofs' can yield better results. To do so we consider the overall time required to process the proof.

The key observation is that the time to process a proof depends not just on the amount of communication, but also the number of rounds. In the protocols from Table 1 and 2, each round cannot commence until the previous round completes, hence we incur a time penalty as a function of the *latency* between the two communicating parties. The duration of a round depends on the bandwidth between them. Thus, we aim to combine number of rounds and message size into a single intuitive quantity based on bandwidth and latency that captures the total wall-clock time cost of the protocol.

For matrix multiplication, the variable round protocols summarized in Table 2 spread the verification over d rounds, and have a total communication cost proportional to $dn^{1/d}$. Hence, we write the time to perform the communication of the protocol as $T = 2d\mathcal{L} + \frac{2dn^{1/d}\log(|\mathbb{F}|)}{\mathcal{B}}$, where latency (\mathcal{L}) is measured in seconds, and bandwidth (\mathcal{B}) in bits per second. This expression emerges due to the 2d changes in direction over the protocol, and considering a protocol that sends a total of $2dn^{1/d}$ field elements (from the analysis in Section 4.2).

We measured the cost using typical values of \mathcal{L} and \mathcal{B} observed on a university campus net-173 work, where the 'ping' time to common cloud service providers (Google, Amazon, Microsoft) 174 is of the order of 20ms, and the bandwidth is around 100Mbps. From the above equation for 175 T we see that, for a constant field size $|\mathbb{F}|$, the value of $2n^{1/d} \log(|\mathbb{F}|)/\mathcal{B}$ is dominated by 176 $2d\mathcal{L}$ for even small d under such parameter settings. Hence, we should prefer fewer rounds as 177 latency increases. Figure 1 shows the number of rounds which minimizes the communication 178 time as a function of the size of the input. We observe that the answer is a small constant, 179 at most just two or three rounds, even for the largest input sizes, corresponding to exabytes 180 of data. 181

182 **3** Primitives

¹⁸³ Before we introduce our protocols, we first describe the building blocks they rely on.

¹⁸⁴ 3.1 Fingerprints

Fingerprints can be thought of as hash functions for large vectors and matrices with additional useful algebraic properties. For $A \in \mathbb{F}_q^{n \times n}$ and $x \in \mathbb{F}_q$, define the matrix fingerprint

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as $F_x(A) = \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} A_{ij} x^{in+j}$. Similarly, for $u \in \mathbb{F}_q^n$ we have the vector fingerprint $F_x^{\text{vec}}(u) = \sum_{i=0}^{n-1} u_i x^i$. The probability of two different matrices having the same fingerprint (over the random choice of x) can be made arbitrarily small by increasing the field size.

¹⁹⁰ ► Lemma 3 ([14]). Given $A, B \in \mathbb{F}_q^{n \times n}$ and $x \in_R \mathbb{F}_q$, we have $\mathbb{P}[F_x(A) = F_x(B) | A \neq B] \leq \frac{n^2}{q}$.

¹⁹² A similar result holds for F_x^{vec} . In our model, fingerprints can be constructed in constant ¹⁹³ space, and with computation linear to the input size.

¹⁹⁴ 3.2 Low Degree Extensions

Low degree extensions (LDEs) have been used extensively in interactive proofs. LDEs have been used in conjunction with sum-check (Section 3.3) in a variety of contexts [11, 7, 8]. Formally, for a set of data S an LDE is a low degree polynomial that goes through each data point. Typically, we think of S as being laid out as a vector or d-dimensional tensor indexed over integer coordinates. This polynomial can then be evaluated at a random point r with the property that, like fingerprinting, two different data sets are unlikely to evaluate to the same value at r (inversely proportional to the field size).

Given input as a vector $u \in \mathbb{F}_q^n$, we consider two new parameters, l and d with $n \leq l^d$, and re-index u over $[\ell]^d$. The d-dimensional LDE of u satisfies $\tilde{f}_u(k_0, ..., k_{d-1}) = u_k$ for $k \in [n]$ where $k_0 ... k_{d-1}$ is the base l representation of k. For a random point $r = (r_0, ..., r_{d-1}) \in \mathbb{F}_q^d$, we have

$$\tilde{f}_{u}(r_{0},...,r_{d-1}) = \sum_{k_{0}}^{l-1} \cdots \sum_{k_{d-1}}^{l-1} u_{k}\chi_{k}(r)$$
(1)

$$\chi_k(r) = \prod_{j=0}^{d-1} \prod_{\substack{i=0\\i \neq k_j}}^{l-1} \frac{r_j - i}{k_j - i},$$
(2)

207

where χ is the Lagrange basis polynomial. Note that $\tilde{f}_u : \mathbb{F}_q^d \to \mathbb{F}_q$ and $q \ge l$. A similar definition can be used for a matrix $A \in \mathbb{F}_q^{n \times n}$, by reshaping into a vector in $\mathbb{F}_q^{n^2}$.

The polynomials can be evaluated over a stream of updates in space O(d) and time per update O(ld) [8]. The time cost of our verifier to evaluate an LDE at one location, r, is O(nld) (for sparse data, n can be replaced with the number of non-zeros in the input).

214 3.3 Sum-Check Protocol

Our final primitive is the sum-check protocol [12]. Sum-check is a multi-round protocol for verifying the sum

$$G = \sum_{k_0=0}^{l-1} \sum_{k_1=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} g(k_0, k_1, \dots, k_{d-1}) \text{ for } g : \mathbb{F}_q^d \to \mathbb{F}_q.$$
(3)

For our purposes, g will be a polynomial derived from the LDE of a dataset of size $n = l^d$ (i.e. the *d*-dimensional tensor representation of the data), and each polynomial used in the protocol will have degree λ , with $\lambda = O(l)$; however, we keep the parameter λ for completeness. Provided that all the checks are passed then the verifier is convinced that (except with small probability) the value G was as claimed in (3). The original descriptions of the sum-check protocol [12, 2] use l = 2, however we shift to using arbitrary l, similar to [1, 7, 8]. The protocol goes as follows:

Stream Processing: V randomly picks $r \in \mathbb{F}_q^d$ and computes $g(r_0, ..., r_{d-1})$. **Round 1:** *H* computes and sends *G* and $g_0 : \mathbb{F}_q \to \mathbb{F}_q$, where

$$g_0(k_0) = \sum_{k_1=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} g(k_0, k_1, \dots, k_{d-1}).$$

V checks that $G = \sum_{k_0=0}^{l-1} g_0(k_0)$, computes $g_0(r_0)$ and sends r_0 to H. 226

Round j + 1: *H* has received r_0, \ldots, r_{j-1} from *V*, and sends $g_j : \mathbb{F}_q \to \mathbb{F}_q$, where

$$g_j(k_j) = \sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} g(r_0, ..., r_{j-1}, k_j, ..., k_{d-1}).$$

V checks if $g_{j-1}(r_{j-1}) = \sum_{k_j=0}^{l-1} g_j(k_j)$, computes $g_j(r_j)$ and sends r_j to H. 227

- 228
- **Round d:** H sends $g_{d-1} : \mathbb{F}_q \to \mathbb{F}_q$, where $g_{d-1}(k_{d-1}) = g(r_0, ..., r_{d-3}, r_{d-2}, k_{d-1})$. V checks that $g_{d-2}(r_{d-2}) = \sum_{k_{d-1}=0}^{l-1} g_{d-1}(k_{d-1})$, computes $g_{d-1}(r_{d-1})$, and finally checks 229 this is $q(r_0, ..., r_{d-2}, r_{d-1})$. 230

H can express the polynomial g_j as a set $G_j = \{(g_j(x), x) : x \in [\lambda]\}$. In each round *V* 231 sums the first l elements of this set, and checks it is $g_{j-1}(r_{j-1})$ for j > 0, then evaluates the 232 LDE of G_i at r_i , giving a computation cost per round of $O(l + \lambda)$. The verifier also has to 233 do some work in the streaming phase, evaluating the function g at r, with cost $O(n\lambda d)$. The 234 helper's computation time comes from having to evaluate g at l^{d-j} points in the *jth* round, 235 and so ultimately evaluating g at $\sum_{j=1}^{d-1} l^{d-j} = O(n)$ points, with a cost per point of $O(\lambda d)$ 236 (we subsequently show how this can be reduced in our protocols for linear algebra). The 237 costs of performing sum-check are summarized as follows: 238

- **Communication** $O(\lambda d)$ words, spread over d rounds. 239
- **Helper costs** $O(n\lambda d)$ time for computation. 240
- Verifier costs $O(\lambda + d)$ memory cost, $O(n\lambda d)$ overhead to compute LDE and checking cost 241 $O(d(l+\lambda)).$ 242

In our implementations, we will optimize our methods to 'stop short' the sum-check protocol and terminate at round d-1 (this idea is implicit in the work of Aaronson and Wigderson [1, Section 7.2]). In this setting, the verifier finds the set

$$\{g(r_0, ..., r_{d-3}, r_{d-2}, k_{d-1}) : k_{d-1} \in [l]\}.$$

in the stream processing stage, and then checks this against the claimed set of values provided 243 by the helper in round d-1. This increases the space used by the verifier to maintain these 244 *l* LDE evaluations. However, this does not affect the asymptotic space usage of the verifier, 245 since we assume that V already keeps space proportional to l to handle H's messages. It 246 does not affect the streaming overhead time, since each update affects only the LDE point 247 with which it shares the final coordinate. Equivalently, this can be viewed as running l248 instances of sum-check in parallel on the data divided into l partitions. Hence, this appears 249 as an all-round improvement, at least in theory. 250

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²⁵¹ **4** Protocols for Linear Algebra Primitives

Using the previously discussed primitives for SIPs, we show how they have been used in inner product [7]. We then use this to construct a new variable round method for matrix multiplication, and extend it to achieve a novel vector-matrix-vector multiplication protocol.

255 4.1 Inner Product

Given two vectors $a, b \in \mathbb{F}_q^n$, the verifier wishes to receive $a^T b \in \mathbb{F}_q$ from the helper. We give a straightforward generalization of the analysis of a protocol in [8], as an application of sum-check on the LDEs of a and b. This variable round protocol has costs detailed below.

▶ **Theorem 4.** Given $a, b \in \mathbb{F}_q^n$, there is a (d-1)-round (ld, l+d)-protocol with $n = l^d$ for verifying $a^T b$ with helper computation time $O\left(\frac{n \log(n)}{d}\right)$, verifier overhead O(nld), and checking cost O(ld).

The analysis from [8] sets l = 2 and $d = \log(n)$, and the computational cost for the verifier is $O(\log(n))$ while the cost for the helper is $O(n \log(n))$. For general l and d these costs become O(ld) and O(nld) for the verifer and helper respectively.

In [7] it is shown how the helper's cost can be reduced to $O(n \log(n))$ for d = 2 and $l = \sqrt{n}$ using the Discrete Fast Fourier Transform to make a fast non-interactive protocol. We extend this for arbitrary d and l, and show how by combining with sum-check we can keep the helper's computation low, proving Theorem 1.

Lemma 5. Given $a, b \in \mathbb{F}_q^n$ the sum

$$a^{T}b = \sum_{k_{0}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \tilde{f}_{a}(k_{0}, ..., k_{d-1}) \tilde{f}_{b}(k_{0}, ..., k_{d-1})$$
(4)

can be verified using a (d-1)-round (ld, l+d)-protocol with helper computation time $O(\frac{n \log(n)}{d})$, and verifier computation time O(ld), overhead time O(nld).

Proof. First, set

$$g(k_0, ..., k_{d-1}) = \tilde{f}_a(k_0, ..., k_{d-1})\tilde{f}_b(k_0, ..., k_{d-1}).$$

 $g: \mathbb{F}_q^d \to \mathbb{F}_q$ is a degree 2l polynomial in each variable. Now, consider round j+1 of the sum-check protocol, where the helper is required to send

$$g_j(x) = \sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_d=0}^{l-1} g(r_1, \dots, r_{j-1}, x, k_{j+1}, \dots, k_d)$$

Here, g is degree 2l polynomial, sent to V as a set $G_j^{\Sigma} = \{(g_j(x), x) : x \in [2l]\}$. To compute this set we have H find the individual summands as

$$G_{j} = \left\{ \left(g(r_{1}, ..., r_{j-1}, x, k_{j+1}, ..., k_{d-1}), x \right) : x \in [2l], k_{j+1}, ..., k_{d-1} \in [l] \right\}.$$

Naive computation of all the values in G_j takes time O(nd) each, for a total cost of $O(nl^{d-j}d)$. However, instead of computing the LDE at l^{d-j} points with cost O(ld) we can sum l^{d-j} convolutions of length 2l vectors to obtain the same result. We present the full proof of this claim in the Appendix. The total cost of each convolution is $O(l\log(l))$. Summing these l^{d-j} convolutions gives the cost of the *j*th round for the helper as $O\left(\frac{l^{d-j}\log(n)}{d}\right)$. Summing $\sum_{j=0}^{d-1} l^{d-j}$ over the *d* rounds gives us our cost of $O\left(\frac{n\log(n)}{d}\right)$. The remaining costs are as in our version of the sum-check protocol (Section 3.3).

284 4.2 Matrix Multiplication

By combining the power of LDEs with the matrix multiplication methods from [6], we can create a protocol with only marginally larger costs than inner product.

▶ **Theorem 6.** Given two matrices $A, B \in \mathbb{F}_q^{n \times n}$, we can verify the product $AB \in \mathbb{F}_q^{n \times n}$ using a d-round (ld, l + d)-protocol with verifier overhead time $O(n^2ld)$, checking time O(ld)and helper computation time $O(n^2)$.

Proof. We make use of the matrix fingerprints from [6], and generate the fingerprint of ABfor some $x \in \mathbb{F}_q$ by expressing matrix multiplication as a sum of outer products.

$$F_{x}(AB) = \sum_{i=0}^{n-1} F_{x^{n}}^{\text{vec}}(A_{i}^{\downarrow}) F_{x}^{\text{vec}}(B_{i}^{\rightarrow})$$
(5)

where A_i^{\downarrow} denotes the *i*th column of A and B_j^{\rightarrow} is the *j*th row of B. We also define:

$$A_{\text{col}} = (F_{x^n}^{\text{vec}}(A_1^{\downarrow}), ..., F_{x^n}^{\text{vec}}(A_n^{\downarrow})) \text{ and } B_{\text{row}} = (F_x^{\text{vec}}(B_1^{\rightarrow}), ..., F_x^{\text{vec}}(B_n^{\rightarrow})).$$

Our fingerprint $F_x(AB)$ is then given by the inner product of A_{col} and B_{row} . We apply the inner product protocol of Theorem 4, hence we need to show the verifier can evaluate the LDE of the product of these two vectors at a random point,

$$\sum_{k_{d-1}=0}^{l-1} \tilde{f}_{A_{\text{col}}}(r_0, ..., r_{d-2}, k_{d-1}) \tilde{f}_{B_{\text{row}}}(r_0, ..., r_{d-2}, k_{d-1}),$$

which we denote as $\Sigma \tilde{f}_{A_{\rm col}}(r) \tilde{f}_{B_{\rm row}}(r)$. We can construct this value in the initial stream by 296 storing, for each value of k_{d-1} , $\tilde{f}_{A_{col}}(r_0, ..., r_{d-1}, k_{d-1})$ and $\tilde{f}_{B_{row}}(r_0, ..., r_{d-1}, k_{d-1})$, which is 297 done in space O(ld) for the verifier. Each of these requires an initial verifier overhead of 298 O(ld) for each of the n^2 elements, then checking requires O(ld) as in Theorem 4. The helper 299 has to fingerprint the matrices to form $A_{\rm col}$ and $B_{\rm row}$, at a cost of $O(n^2)$. The result follows 300 by using the generated fingerprint to compare to the fingerprint of the claimed result AB301 (which is provided by the helper in some suitable form, and excluded from the calculation of 302 the protocol costs). 303

Note that the helper is not required to follow any particular algorithm to compute the matrix product AB. Rather, the purpose of the protocol is for the helper to assist the verifier in computing a fingerprint of AB from its component matrices. The time cost of this is much faster: linear in the size of the input.

Fingerprinting versus LDEs. Our protocol in Theorem 6 is stated in terms of fingerprints. In [18], a *d*-round protocol is presented which uses

$$\tilde{f}_{AB}(R_1, R_2) = \sum_{k_0=0}^{1} \cdots \sum_{k_{\log(n)-1}=0}^{1} \tilde{f}_A(R_1, k) \tilde{f}_B(k, R_2).$$

This uses the inner product definition of matrix multiplication, whilst we use the outer product property of fingerprints. Finding $\tilde{f}_{AB}(R_1, R_2)$ during the initial streaming has cost per update $O(\log(n))$. For our method, we find $\Sigma \tilde{f}_{A_{col}}(r) \tilde{f}_{B_{row}}(r)$, which has cost O(ld). In the case $l = 2, d = \log(n)$, we see these two methods are very similar. The methods differ in how we respond to receiving the result, AB. In [18], the verifier computes the LDE of

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AB at a cost of $O(n^2 ld)$, while our method takes time $\tilde{O}(n^2)$ to process the claimed AB. 313 as we simply fingerprint the result. Thaler's method possesses some other advantages, for 314 example it can chain matrix powers (finding A^m) without the Helper having to materialize 315 the intermediate matrices. Nevertheless, in data analysis applications, it is often the case 316 that only a single multiplication is required. 317

4.3 Vector-Matrix-Vector Multiplication 318

Vector-matrix-vector multiplication appears in a number of scenarios. A simple example 319 arises in the context of graph algorithms: suppose that helper wishes to demonstrate that a 320 graph, specified by an adjacency matrix A, is bipartite. Let v be an indicator vector for one 321 part of the graph, then $v^T A v = (1 - v)^T A (1 - v) = 0$ iff v is as claimed. More generally, 322 the helper can show a k colouring of a graph using k vector-matrix-vector multiplications 323 between the adjacency matrix and the k disjoint indicator vectors for the claimed colour 324 classes. 325

We reduce the problem of vector-matrix-vector multiplication (which yields a single scalar) 326 to inner product computation, after reshaping the data as vectors. Formally, given $u, v \in \mathbb{F}^n$ 327 and $A \in \mathbb{F}^{n \times n}$, we can compute $u^T A v$ as 328

 $u^T A v = \sum_{i=1}^n \sum_{i=1}^n u_i A_{ij} v_j = (uv^T)_{\text{vec}} \cdot A_{\text{vec}}$

 $u^T A v$ is equal to computing the inner product of A and uv^T written as length n^2 vectors. 331 Protocols using this form will need to make use of an LDE evaluation of uv^{T} . We show that 332 this can be built from independent LDE evaluations of each vector. 333

▶ Lemma 7. Given $u, v \in \mathbb{F}^n$ and $r \in_R \mathbb{F}^d$, with $n = l^d$

$$f_{uv^T}(r_0, \dots, r_{2d-1}) = f_u(r_0, \dots, r_{d-1})f_v(r_d, \dots, r_{2d-1})$$

Proof. We abuse notation a little to treat uv^T as a vector of length n^2 , and we assume that 334 $n = l^d$ (if not, we can pad the vectors with zeros without affecting the asymptotic behaviour). 335 We write $R_1 = (r_0, \ldots, r_{d-1})$ and $R_2 = (r_d, \ldots, r_{2d-1})$. The proof follows by expanding out 336 expression (2) to observe that $\chi_k(r_0 \dots r_{2d-1}) = \chi_{k_0,\dots,k_{d-1}}(R_1)\chi_{k_d,\dots,k_{2d-1}}(R_2)$ and so 337

$$f_{uv^{T}}(r_{0}, \dots r_{2d-1}) = \sum_{k_{0}=0}^{l-1} \dots \sum_{k_{2d-1}=0}^{l-1} \left[\left(uv^{T} \right)_{k} \chi_{k}(r) \right]$$

$$= \sum_{i_{0}=0}^{l-1} \dots \sum_{i_{d-1}=0}^{l-1} \sum_{j_{0}=0}^{l-1} \dots \sum_{j_{d-1}=0}^{l-1} (u_{i}v_{j})\chi_{i}(R_{1})\chi_{j}(R_{2})$$

$$_{_{341}}^{_{340}} = f_u(R_1)f_v(R_2)$$

342

The essence of the proof is that we can obtain all the needed cross-terms corresponding to 343 entries of uv^T from the product involving all terms in f_u and all terms in f_v . 344

We can employ the protocol for inner product using f_A and f_{uv^T} , which we can compute 345 in the streaming phase, as $f_{uv^T} = f_u f_v$ to give us Theorem 3. 346

▶ Theorem 8. Given $u, v \in \mathbb{F}^n$ and $A \in \mathbb{F}^{n \times n}$, we can verify $u^T A v$ using a (d-1) round 347 (ld, l+d)-protocol for $n^2 = l^d$, with helper computation $O\left(\frac{n^2 \log(n)}{d}\right)$, verifier overhead O(nld)348 and checking cost O(l). 349



Figure 2 Detailed Matrix Multiplication Protocol

5 Practical Analysis

To evaluate these protocols in practice, we focus on the core task of matrix multiplication. 351 In order to discuss the time costs associated with execution of our protocols in more detail, 352 we break down the various steps into components as illustrated in Figure 2. Here, we use 353 Greek characters to describe the costs for the verifier: the initial streaming overhead $(t[\alpha])$, 354 the checks performed in total in each round $(t[\beta])$, as well as the time to send responses 355 $(t[\delta])$. For the helper, we identify four groups of tasks, denoted by Latin characters: the 356 computation of the matrix product itself (t[a]), the communication of this result to the 357 verifier $(t[b_0])$, and the time per round to compute and send the required message (t[b]) and 358 t[c] respectively). 359

Recall our discussion in Section 2 on the effects of communication bandwidth and latency 360 on the optimal number of rounds. In our simple model we focused on the tasks most directly 361 involved with communication (the verifier round cost $t[\delta]$ and helper round cost t[c]). We 362 implicitly treated the corresponding round computation costs $(t[\beta] \text{ and } t[b])$ as nil. As the 363 construction and sending of the solution $(t[a] \text{ and } t[b_0])$ will dominate the first stage of the 364 protocol, we focus our experimental study on measuring values of t[b], $t[\beta_0]$ and $t[\beta]$ to 365 quantify a reasonable estimate for the length of time the interactive phase of the protocol 366 takes with bandwidth \mathcal{B} and latency \mathcal{L} . 367

We account for the cost required for computation and communication separately to find the total time, T, as follows:

$$T = t[work] + t[comm] = (t[\beta_0] + t[\beta] + t[b]) + \left(2d\mathcal{L} + \frac{2dl\log(|\mathbb{F}|)}{\mathcal{B}}\right).$$

³⁷² T is the total time for the protocol from receiving the answer to producing a conclusion of ³⁷³ the veracity of the result. We can omit the verifier's streaming computation time $t[\alpha]$ from

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l	d	t[b] (ms)	$t[\beta]$	(μs)					
2	12	0.230 ± 0.02	9±	-2		l	d	t[b] (ms)	$t[\beta] (\mu s)$
4	6	0.120 ± 0.01	14:	±1		2	16	3.5 ± 0.2	6 ± 1
8	4	0.099 ± 0.01	35=	± 7		4	8	2.0 ± 0.1	9 ± 1
16	3	0.097 ± 0.01	35=	± 7		16	4	1.6 ± 0.1	46 ± 3
64	2	0.110 ± 0.01	43 ± 5			256	2	1.8 ± 0.1	1700 ± 200
(a) n	(a) $n = 2^{12}$, t $[\beta_0] = 149 \pm 15$ ms (b) $n = 2^{16}$, t $[\beta_0] = 38.0 \pm 6.5$ s							$\pm 6.5 s$	
			l	d	t[b] (ms)	$t[\beta]$	(μs)		
			2	18	14.1 ± 0.9	6 :	± 1		
			4	9	8.0 ± 0.5	11	± 3		
			8	6	6.3 ± 0.5	30	± 3		
			64	3	7.1 ± 0.6	270	± 30		
			512	2	7.8 ± 0.7	6400	± 650		
	(c) $n = 2^{18}$, t $[\beta_0] = 603 \pm 63$ s								

Table 3 Interaction phase costs

n	t[a] (s)
2^{10}	0.61 ± 0.06
2^{11}	5.61 ± 0.7
2^{12}	47.9 ± 4.3
2^{13}	403 ± 34

Table 4 Matrix Multiplication Timings

the total protocol run time, as this can be overlapped with the helper's computation of the true answer, which should always dominate.

In what follows, we instantiate this framework and determine the costs of implementing 376 protocols. These demonstrate that while computation cost for matrix multiplication (t[a])377 grows superquadratically, the streaming cost $(t[\alpha])$ is linear in the input size n. The dominant 378 cost during the protocol is $t[\beta_0]$, to fingerprint the claimed answer; other computational costs 379 in the protocol are minimal. Factoring in the communication based on real-world latency 380 and bandwith costs, we conclude that latency dominates, and indeed we prefer to have fewer 381 rounds. In all our experiments, the optimal number of rounds is just 2. Extrapolating to 382 truly enormous values of n suggest that still three rounds would suffice. 383

384 5.1 Setup

The experiments were performed on a workstation with an Intel Core i7-6700 CPU @ 3.40GHz 385 processor, and 16GB RAM. Our implementations were written in single-threaded C using the 386 GNU Scientific Library with BLAS for the linear algebra, and FFTW3 library for the Fourier 387 Transform. The programs were compiled with GCC 5.4.0 using the -O3 optimization flag, 388 under Linux (64-bit Ubuntu 16.04), with kernel 4.15.0. Timing was done using the clock() 389 function for all readings except $t[\beta]$, which used getrusage() as the timings were so small. 390 For the various tests performed, the matrices and vectors were generated using the C 391 rand() function. Note that the work of the protocols is not affected by the data values, so 392

³⁹³ we are not much concerned with how the inputs are chosen. The arithmetic field used was \mathbb{F}_q ³⁹⁴ with $q = 2^{31} - 1$ (larger fields, such as $q = 2^{61} - 1$ or $q = 2^{127} - 1$ could easily be substituted ³⁹⁵ to obtain much lower probability of error, at a small increase in time cost). The work of the ³⁹⁶ verifier and work of the helper were both simulated on the same machine.

	_		Latency	Bandwidth
n	l	d	cost (ms)	$\cos t \ (ms)$
	2	12	440	0.014
	4	6	200	0.012
2^{12}	8	4	120	0.015
	16	3	80	0.020
	64	2	40	0.041
	2	16	600	0.019
	4	8	280	0.018
2^{16}	16	4	120	0.031
	256	2	40	0.163
	2	18	680	0.022
	4	9	320	0.020
2^{18}	8	6	200	0.026
	64	3	80	0.082
	512	2	40	0.328

Table 5 Time taken for interactions (ping 20ms, bandwidth 100Mbps, $|\mathbb{F}|=2^{31}-1$)

_						
	n	l	d	t[comm] (s)	t[work] (s)	T (s)
F		2	12	0.44		0.589
		4	6	0.20		0.349
	2^{12}	8	4	0.12	0.149	0.269
		16	3	0.08		0.229
		64	2	0.04		0.189
ſ		2	16	0.60		38.6
	o16	4	8	0.28	9 0	38.3
	2	16	4	0.12	30	38.1
		256	2	0.04		38.0
	2 ¹⁸	2	18	0.68		604
		4	9	0.32		603
		8	6	0.20	603	603
		64	3	0.08		603
		512	2	0.04		603

Table 6 Verifier matrix multiplication time (ping 20ms, bandwidth 100Mbps, $|\mathbb{F}| = 2^{31} - 1$).

397 5.2 Matrix Multiplication Results

Table 3 shows the experimental results for the matrix multiplication protocol for matrix 398 sizes ranging from $n = 2^{12}$ to 2^{18} . Note, this means we are tackling matrices with tens of 399 billions of entries. For completeness, we timed BLAS matrix multiplication on our machine 400 for $n = 2^{10}$ to 2^{13} to give an idea of the comparative magnitude of a (Table 4), although 401 further results were restricted by machine memory. Due to memory limitations, we tested 402 our algorithms using freshly drawn random values in place of stored values of the required 403 vectors or matrices. This does not affect our ability to compare the data, and allows us to 404 increase the data size beyond that of the machine memory. 405

The computation cost t[a] grows with the cost of matrix multiplication, which is superquadratic in *n*, while $t[\alpha]$ grows linearly with the size of the input, which is strictly quadratic in *n*. Further, the verifier does not need to retain whole matrices in memory, and can compute the needed quantities with a single linear pass over the input.

We next study the helper's cost across all d rounds to compute the responses in each step of the protocol. Our analysis bounds this total cost as $O(\frac{n \log(n)}{d})$. However, we observe that in our experiments, this quantity tends to decrease as d decreases. We conjecture that while the cost does decrease each round, the amount of data needed to be handled quickly decreases to a point where it is cache resident, and the computation takes a negligible amount of time compared to the data access. Thus, this component of the helper's time cost is driven by the number of rounds during which the relevant data is still 'large', which is greater for larger d.

When we look at the contributory factors to t[work], we observe that the dominant term is by far t[β_0], where the verifier reads through the claimed answer and computes the fingerprint. Thus, arguably, the *computational* cost of any such protocol once the prover finds the answer is dominated by the time the verifier takes to actually inspect the answer: all subsequent checks are minimal in comparison. This justifies our earlier modelling assumption to omit computational costs in our balancing of latency and bandwidth factors.

We now turn to the time due to communication, summarized in Table 5. Here, we can clearly see the huge difference of several orders of magnitude between the latency cost, $2d\mathcal{L}$, versus the bandwidth cost, $\frac{2dl \log(|\mathbb{F}|)}{\mathcal{B}}$. Note that these timing figures are simulated, based on

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the average values of latency and the corresponding average bandwidth found when pinging several cloud servers such as Google, Amazon and Microsoft from a university network. The dependencies on both latency and bandwidth are linear. Consequently, if the latency were reduced to 10ms, this would halve the times in the Latency cost column; similarly, if bandwidth were doubled, this would halve the times in the Bandwidth cost column. We observe then that for all but very low bandwidth scenarios, the latency cost will dominate.

Finally, we put these pieces together, and consider the total protocol time from both 432 computation and communication components. We obtain the total time by summing t[work] 433 and t[comm], in Table 6. These results confirm our earlier models, and the fastest time is 434 achieved with a very small number of rounds. For all values of n tested in these experiments, 435 we see the optimal value of d is 2, the minimally interactive scenario. The trend is such that, 436 because of the sheer domination of latency and $t[\beta_0]$, it is unlikely that more than two or 437 three rounds will ever be needed for even the largest data sets. As n increases, the size of 438 t[work] grows faster than t[comm], predominantly due to t[β_0]. Therefore to minimize the 439 cost of verification one should prefer a small constant number of rounds. 440

6 Concluding Remarks

Our experimental study supports the claim that fewer rounds of interaction are preferable 442 to allow efficient interactive proofs for linear algebra primitives. For large instances in 443 our experiments, the optimal number of rounds is just two. These primitives allow simple 444 implementation of more complex tools such as regression and linear predictors [6]. Other 445 primitive operations, such as scalar multiplication and addition, are trivial within this model 446 (since LDE evaluations and fingerprints are linear functions), so these primitives collectively 447 allow a variety of computations to be efficiently verified. Further operators, such as matrix 448 (pseudo)inversion and factorization are rather more involved, not least since they bring 449 questions of numerical precision and representation [6]. Nevertheless, it remains open to 450 show more efficient protocols for other functions, such as matrix exponentiation, and to allow 451 sequences of operations to be easily 'chained together' to verify more complex expressions. 452

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500 A Details of Proof of Lemma 5

Lemma 9 (Restatement of Lemma 5). Given $a, b \in \mathbb{F}_n^n$ the sum

$$a^{T}b = \sum_{k_{0}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} f_{a}(k_{0}, ..., k_{d-1})f_{b}(k_{0}, ..., k_{d-1})$$

- ⁵⁰¹ can be verified using a (d-1)-round (ld, l+d)-protocol with helper overhead time $O(\frac{n \log(n)}{d})$,
- ⁵⁰² and verifier overhead time of O(nld) and checking computation time O(ld).

Proof. First, set

$$g(k_0, ..., k_{d-1}) = f_a(k_0, ..., k_{d-1}) f_b(k_0, ..., k_{d-1})$$

 $g: \mathbb{F}_q \times \ldots \times \mathbb{F}_q \to \mathbb{F}_q$ is a degree 2l polynomial in each variable. Now, consider round j+1 of the sum-check protocol, where the helper is required to send

$$g_j(x) = \sum_{k_{j+1}=1}^{l} \cdots \sum_{k_d=1}^{l} g(r_1, ..., r_{j-1}, x, k_{j+1}, ..., k_d)$$

Here, g is degree 2l polynomial, sent to V as a set $G_j^{\Sigma} = \{(g_j(x), x) : x \in [2l]\}$. To compute this set we have H find the individual summands as

⁵⁰⁵
₅₀₆
$$G_j = \left\{ \left(g(r_1, ..., r_{j-1}, x, k_{j+1}, ..., k_{d-1}), x \right) : x \in [2l], k_{j+1}, ..., k_{d-1} \in [l] \right\}$$

Naive computation of all the values in G_j takes time O(nd) each, for a total cost of $O(nl^{d-j}d)$. However, instead of computing the LDE at l^{d-j} points with cost O(ld) we can sum l^{d-j} convolutions of length 2l vectors to obtain the same result (See below). The total cost of the convolution is $O(l\log(l)) = O(\frac{l\log(n)}{d})$, using $n = l^d$. Summing these l^{d-j} convolutions gives the cost of the *j*th round for the helper as $O(\frac{l^{d-j}\log(n)}{d})$. Summing over the d rounds gives us our cost of $O(\frac{n\log(n)}{d})$.

513 A.1 Finding G_i with Convolution

To simplify the argument, we consider the computation of $a^T a$ (also referred to as F_2). The general case of $a^T b$ follows the same steps but the notation quickly becomes cumbersome. So, given a vector $a \in \mathbb{F}_q^n$, we want to find $\sum_{i=0}^{n-1} a_i^2$. This is equivalent to finding the inner product of a with itself.

Consider a d-1 round protocol for the F_2 problem on $a \in \mathbb{F}_q^n$. We have $n = l^d$, and so for each round of interaction the helper sends

$$g_j(x) = \sum_{k_{j+1}=1}^l \cdots \sum_{k_{d-1}=1}^l f_A(r_0, ..., r_{j-1}, x, k_{j+1}, ..., k_{d-1})^2,$$

where the input is reshaped as the *d*-dimensional $A \in \mathbb{F}^{l \times l \times ... \times l}$. There are d-1 such polynomials to send over the course of the protocol, and each one has degree 2l-1.

520 Round 1.

Consider first the opening round

$$g_0(x) = \sum_{k_1=1}^{l} \cdots \sum_{k_{d-1}=1}^{l} f_A(x, k_1, \dots, k_{d-1})^2$$

This can be found by materializing the set of values $G_0 = \left\{ \left(f_A(x, k_1, ..., k_d), x \right) : x \in \right\}$ 521 $[2l], k_1, \dots, k_{d-1} \in [l]$, and then summing over k_1, \dots, k_d to obtain G_0^{Σ} . 522

For the first half of the G_0^{Σ} , the computation is closely linked to the original input, and so we can simply compute the partial sums

$$\sum_{k_1=1}^{l} \cdots \sum_{k_{d-1}=1}^{l} f_A(x, k_1, ..., k_{d-1})^2.$$

These sums partition the input, so the total time is O(n) to obtain the values for all $x \in [l]$. 523 However, for x values in the range $l + 1 \dots 2l$, we need to evaluate the LDE at locations 524 not present in the original input. To avoid the higher cost associated with naive computation 525 of all terms, we expand the definition of LDEs: 526

527
$$f_A(k_0, ..., k_{d-1}) = \sum_{p_0=0}^{l-1} \cdots \sum_{p_{d-1}=0}^{l-1} A_{p_0 p_1 \dots p_{d-1}} \chi_{p_0 p_1 \dots p_{d-1}}(k_0, ..., k_{d-1})$$

528
$$\chi_{p_0p_1...p_{d-1}}(k_0,...,k_{d-1}) = \prod_{j=0} \prod_{i=0,i \neq p_j} \frac{\kappa_j - i}{p_j - i}$$

529

In what follows, we can make use of the fact that not all input values contribute to every 530 LDE evaluation needed. We expand as follows: 531

532
$$g_0(x) = \sum_{k_1=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} f_A(x, k_1, \dots, k_{d-1})$$

533
$$= \sum_{k_1=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(\sum_{p_0=0}^{l-1} \sum_{p_1=0}^{l-1} \cdots \sum_{p_{d-1}=0}^{l-1} \right)$$

534

535

$$\left(A_{p_0p_1\dots p_{d-1}}\left[\prod_{i=0,i\neq p_0}^{l-1} \frac{x-i}{p_0-i}\right] \left[\prod_{i=0,i\neq p_1}^{l-1} \frac{k_1-i}{p_1-i}\right] \cdots \left[\prod_{i=0,i\neq p_{d-1}}^{l-1} \frac{k_{d-1}-i}{p_{d-1}-i}\right]\right)$$
$$= \sum_{k_1=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(\sum_{p_0=0}^{l-1} \left[A_{p_0k_1\dots k_{d-1}} \prod_{i=0,i\neq p_0}^{l-1} \frac{x-i}{p_0-i}\right]\right)^2$$

$$= \sum_{k_1=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(\sum_{p_0=0}^{l-1} \left[\left(A_{p_0k_1\dots k_{d-1}} \prod_{i=0, i \neq p_0}^{l-1} \frac{1}{p_0 - i} \right) \left(\prod_{i=0}^{l-1} (x - i) \right) \left(\frac{1}{x - p_0} \right) \right] \right)$$

$$= \sum_{k_1=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(\left(\prod_{i=0}^{l-1} (x - i) \right) \sum_{p_0=0}^{l-1} \left[\left(A_{p_0k_1\dots k_{d-1}} \prod_{i=0, i \neq p_0}^{l-1} \frac{1}{p_0 - i} \right) \left(\frac{1}{x - p_0} \right) \right] \right)$$

$$= \sum_{k_1=0} \cdots \sum_{k_{d-1}=0} \left(\sum_{p_0=0} \left\lfloor \left(A_{p_0k_1\dots k_{d-1}} \prod_{i=0, i \neq p} \right) \right. \right. \right]$$

538

Note in the second step we use that 539

540
$$\sum_{p_j=0}^{l-1} \prod_{i=0, i \neq p_j}^{l-1} \frac{k_j - i}{p_j - i} = \begin{cases} 0 & p_j \neq k_j \\ 1 & p_j = k_j \end{cases}$$

We now introduce the helper functions 541

$$g(p) = \frac{1}{p}$$
; $h(x) = \prod_{i=1}^{l} (x-i)$ and $q(p) = \prod_{i=0, i \neq p}^{l-1} \frac{1}{p-i}$ (6)

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to simplify the notation. We define the vectors 543

544
$$b_{k_1...k_{d-1}}(p) = \begin{cases} A_{p,k_1...k_{d-1}}q(p) & \text{for } p \in [0,l-1], k_1,...,k_{d-1} \in [0,l-1] \\ 0 & \text{for } p \in [l,2l-1], k_1,...,k_{d-1} \in [0,l-1] \end{cases}$$

and use these to rewrite in terms of convolutions 545

 $k_2=1$

$$g_0(x) := \sum_{k_1=1}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(h(x) \sum_{p_0=0}^{l-1} \left[b_{k_1 \dots k_{d-1}}(p_0)g(x-p_0) \right] \right)^2$$

 $k_d = 1$

54

547
$$= h(x)^{2} \sum_{k_{1}=0} \cdots \sum_{k_{d-1}=0} (\operatorname{conv}(b_{k_{1}\dots k_{d-1}}, g)[x])^{2}$$

548
$$= h(x)^{2} \left(\sum_{k_{1}=0}^{l} \cdots \sum_{k_{d-1}=0}^{l} \operatorname{DFT}^{-1}(\operatorname{DFT}(b_{k_{1}\dots k_{d-1}}) \cdot \operatorname{DFT}(g)) \right) [x]^{2}$$

549

Thus, by precomputing some arrays of values, we reduce the computation to several 550 convolutions that can be evaluated quickly via fast Fourier transform. Observe that this 551 FFT does not need to be computed over the same field as the matrix multiplication: we can 552 choose any suitably large field for which there is an FFT (say, real vectors of size 2^{j} for some 553 j), and then map the result back into \mathbb{F}_q . Forming $b_{k_1...k_d}(p)$ takes time $O(l^d)$. We have to 554 do $O(l^{d-1})$ convolutions on vectors of length O(l), so each convolution takes time $O(l \log(l))$. 555 Since $\log(l) = \log(n^{\frac{1}{d}})$, we can write the helper's time cost for the first round as $O(\frac{n}{d}\log(n))$. 556

Round j. 557

Similar rewritings are possible in subsequent rounds. Initially, it may seem that things are more complex for G_j , as each $f_A(r_0, ..., r_{j-1}, x, k_{j+1}, ..., k_{d-1})$ appears to require full inspection of the input to evaluate at $(r_0, ..., r_{j-1})$. However, we can again define an ancillary array $b_{k_1...k_{d-1}}$ to more easily compute this. In the sum-check protocol after the helper sends G_0 , it receives r_0 , with which we define the array over $[l]^{d-1}$:

$$A_{r_0k_1...k_{d-1}}^{(1)} = \sum_{p=0}^{l-1} b_{k_1...k_{d-1}}(p) \prod_{i=0,i\neq p}^{l-1} (r_0 - i)$$

This allows the Helper to form G_1 using the same idea as above, but with $A^{(1)}$ instead of 558

- A. Working in terms of $A^{(1)}$ reduces the Helper's cost from $O(l^{d-1}ld)$ for computing the 559
- $f_A(r_0, k_1, \dots, k_{d-1})$ for each $k_i \in [l]$ to just $O(l^2)$ when combined with using $b_{k_1 \dots k_{d-1}}$. 560

In more detail, and with more generality, let us consider the jth round, where we are forming G_j and G_j^{Σ} . We define

$$A_{r_0,\dots,r_{j-1},k_j\dots k_{d-1}}^{(j)} = \sum_{p=0}^{l-1} b_{k_j\dots k_{d-1}}(p) \prod_{i=0,i\neq p}^{l-1} (r_{j-1}-i)$$

Then we have the following computation for $x \in [l, 2l - 1]$: 561

562
$$g_j(x) = \sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} f_A(r_0, ..., r_{j-1}, x, k_{j+1}, ..., k_{d-1})^2$$

$$l-1 \qquad l-1 \qquad \left(\begin{array}{c} l-1 \\ l-1 \end{array} \right) \left(\begin{array}{c} l-1 \\ \right$$

563

$$563 \qquad = \sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(\sum_{p_0=0}^{l-1} \cdots \sum_{p_{d-1}=0}^{l-1} \left(A_{p_0\dots p_{d-1}} \left[\prod_{i=0,i\neq p_0}^{l-1} \frac{r_0-i}{p_0-i} \right] \cdots \left[\prod_{i=0,i\neq p_{j-1}}^{l-1} \frac{r_{j-1}-i}{p_{j-1}-i} \right] \right) \right)^2$$

$$564 \qquad \qquad \left[\prod_{i=0,i\neq p_j}^{l-1} \frac{x-i}{p_j-i} \right] \left[\prod_{i=0,i\neq p_{j+1}}^{l-1} \frac{k_{j+1}-i}{p_{j+1}-i} \right] \cdots \left[\prod_{i=0,i\neq p_{d-1}}^{l-1} \frac{k_{d-1}-1}{p_{d-1}-1} \right] \right) \right)^2$$

$$565 \qquad \qquad = \sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(\sum_{p_j=0}^{l-1} \left[A_{r_0\dots r_{j-1}p_jk_{j+1}\dots k_{d-1}}^{(j)} \prod_{i=0,i\neq p_j}^{l-1} \frac{x-i}{p_j-i} \right] \right)^2$$

$$566 \qquad \qquad = \sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(\sum_{p_j=0}^{l-1} \left[\left(A_{r_0\dots r_{j-1}p_jk_{j+1}\dots k_{d-1}}^{(j)} \prod_{i=0,i\neq p_j}^{l-1} \frac{1}{p_j-i} \right) \left(\prod_{i=0}^{l-1} (x-i) \right) \left(\frac{1}{x-p_j} \right) \right] \right)^2$$

$$= \sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(\left(\prod_{i=0}^{l-1} (x-i) \right) \sum_{p_j=0}^{l-1} \left[\left(A_{r_0 \dots r_{j-1} p_j k_{j+1} \dots k_{d-1}}^{(j)} \prod_{i=0, i \neq p_j}^{l-1} \frac{1}{p_j - i} \right) \left(\frac{1}{x - p_j} \right) \right] \right)^2$$

We make use of the same set of helper functions specified in equation (6), and define the 569 vectors 570

$$b_{k_{j+1}...k_d}(p) = \begin{cases} A_{r_0...r_{j-1}pk_{j+1}...k_{d-1}}^{(j)}q(p) & \text{ for } p \in [0,l-1], k_{j+1},...,k_d \in [0,l-1] \\ 0 & \text{ for } p \in [l,2l-1], k_{j+1},...,k_{d-1} \in [0,l-1] \end{cases}$$

We can now continue to express the computation in terms of convolutions 572

573
$$g_j(x) := \sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \left(h(x) \sum_{p_j=0}^{l-1} \left[b_{k_{j+1}\dots k_{d-1}}(p_j)g(x-p_j) \right] \right)^2$$

574
$$= \sum_{k_{j+1}=0} \cdots \sum_{k_{d-1}=0} \left(h(x) \operatorname{conv}(b_{k_{j+1}\dots k_{d-1}}, g)[x] \right)^2$$

575
576
$$= h(x)^2 \left(\sum_{k_{j+1}=0}^{l-1} \cdots \sum_{k_{d-1}=0}^{l-1} \mathrm{DFT}^{-1}(\mathrm{DFT}(b_{k_j\dots k_d}) \cdot \mathrm{DFT}(g)) \right) [x]^2$$

We can think of $A^{(j)}$ as a shrinking input array, where $A^{(j)} \in \mathbb{F}^{l \times l \times \ldots \times l}$ is d-j dimensional, and 1

$$b_{k_{j+1}\dots k_d}(p_j) = A_{r_1\dots r_{j-1}p_j k_{j+1}\dots k_d}^{(j)} \prod_{i=1, i \neq p_j}^{l} \frac{1}{p_j - i}$$
$$A_{r_0,\dots,r_{j-1},k_j\dots k_{d-1}}^{(j)} = \sum_{p_{j-1}=0}^{l-1} A_{r_1\dots r_{j-2}p_{j-1}k_j\dots k_d}^{(j-1)} \prod_{i=0, i \neq p_{j-1}}^{l-1} \frac{r_{j-1} - i}{p_{j-1} - i}$$

Using this formulation, the dominant computation cost in round j will be from the FFT, which involves l^{d-j-1} convolutions of cost $O(\frac{l}{d}\log(n))$ each. Thus the final cost for the round

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is $O(\frac{l^{d-j}}{d}\log(n))$. The cost of running the entire protocol requires d-1 rounds, making the computational cost for the helper

$$O\left(\sum_{j=0}^{d-2} \frac{l^{d-j}}{d} \log(n)\right) = O\left(n \log(n) \frac{\sum_{j=0}^{d-2} l^{-j}}{d}\right) = O\left(\frac{n \log(n)}{d}\right)$$

since $l \ge 2$. Note that when $d = \log(n)$ and l = 2, we achieve O(n) time for the helper. The cost increases with fewer rounds, up to a maximum of $O(n \log n)$ for a constant round protocol.

580 Cost summary.

For the verifier, the checking computation cost is O(ld), which emerges from the *d* rounds, where in each round the verifier sums the first *l* elements of G_j^{Σ} , before evaluating the LDE of G_j^{Σ} at r_j , making for a total cost of O(l). The streaming overhead for the verifier involves evaluating the LDE of the input *A*, for a cost of O(nld) The verifier requires O(l+d) memory to find the LDE of *a* at $r \in \mathbb{F}^d$. The communication will be O(ld) as we have the helper

- sending d sets G_i of size O(l). Hence, we summarize the various costs as
- 587 **Rounds** d-1
- 588 **Communication** O(ld)
- 589 Verifier Memory O(l+d)
- ⁵⁹⁰ Helper Computation Time $O(\frac{n \log(n)}{d})$
- ⁵⁹¹ Verifier Overhead Time O(nld)
- ⁵⁹² Verifier Checking Computation Time O(ld)